

Audit and Risk Committee

14 March 2016

Time 2.00 pm **Public Meeting?** YES **Type of meeting** Regulatory
Venue Committee Room 3 - 3rd Floor - Civic Centre

Membership

Chair Cllr Craig Collingswood (Lab)
Vice-chair Cllr Christine Mills (Con)

Labour	Conservative	Independent Member
Cllr Harbans Bagri Cllr Philip Bateman Cllr Keith Inston Cllr Jasbir Jaspal Cllr Stephen Simkins	Cllr Patricia Patten	Mr Mike Ager Mr Terry Day

Quorum for this meeting is two Councillors.

Information for the Public

If you have any queries about this meeting, please contact the democratic support team:

Contact Dereck Francis
Tel/Email Tel: 01902 555835 or dereck.francis@wolverhampton.gov.uk
Address Democratic Support, Civic Centre, 1st floor, St Peter's Square,
Wolverhampton WV1 1RL

Copies of other agendas and reports are available from:

Website <https://wolverhamptonintranet.moderngov.co.uk>
Email democratic.support@wolverhampton.gov.uk
Tel 01902 555043

Please take note of the protocol for filming, recording and use of social media in meetings, copies of which are displayed in the meeting room.

Some items are discussed in private because of their confidential or commercial nature. These reports are not available to the public.

Agenda

Part 1 – items open to the press and public

MEETING BUSINESS ITEMS

<i>Item No.</i>	<i>Title</i>
1	Apologies for absence
2	Declaration of interests
3	Minutes of the previous meeting (14 December 2015) (Pages 5 - 12) [For approval]
4	Matters arising [To consider any matters arising from the minutes]

DECISION ITEMS

5	Audit and Risk Committee - Terms of Reference (Pages 13 - 18) [To review the Committee's terms of reference]
6	External Audit Plan 2015/16 (Pages 19 - 40) [To note the audit plan from the Council's external auditors]
7	Communications with the Audit and Risk Committee (Pages 41 - 62) [To consider the communications from the Council's external auditors]
8	External Audit Update (Pages 63 - 82) [To receive and note the update from the Council's external auditors]
9	Strategic Risk Register and Assurance Map (Pages 83 - 104) [To note the latest summary of the Council's strategic risk register and the main sources of assurance available to show that the risks are being mitigated]
10	Internal Audit Update - Quarter Three (Pages 105 - 114) [To note the contents of the latest internal audit update]
11	Internal Audit Plan 2016/17 (Pages 115 - 142) [To approve the risk based internal audit plan for 2016/17]
12	Counter Fraud Update (Pages 143 - 166) [To note the contents of the latest Audit Service's counter fraud update]

- 13 **Payment Transparency** (Pages 167 - 170)
[To note the current position with regards to the publication of all the Council's expenditure]
- 14 **Related Party Declarations** (Pages 171 - 176)
[To provide a response to a previous external auditor's findings in relation to completeness of related party declarations and their recommendations]
- 15 **Review of Fraud Related Policies** (Pages 177 - 198)
[To review and approve updated Council fraud related policies and procedures]
- 16 **Audit and Risk Committee Members: - Knowledge and Skills Framework**
(Pages 199 - 210)
[To complete and return the knowledge and skills framework exercise]
- 17 **Exclusion of press and public**
[To pass the following resolution:
- That in accordance with Section 100A(4) of the Local Government Act 1972 the press and public be excluded from the meeting for the following items of business as they involve the likely disclosure of exempt information on the grounds shown below]

Part 2 - exempt items, closed to press and public

<i>Item No.</i>	<i>Title</i>	<i>Grounds for Exemption</i>
18	Audit Investigations Update (Pages 211 - 216) [To note the current position on audit investigations]	Information relating to any individual. Information which is likely to reveal the identity of an individual. Information relating to the financial or business affairs of any particular person (including the authority holding that information) Para (1, 2, 3)